

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): MICHAEL LLOYD COCHRAN, LASZLO ELTETO, JENINE ANN McQUAID and JAMES W. YIP
 Docket: G&C 30074.43-US-U1
 Title: BOOT-UP AND HARD DRIVE PROTECTION USING A USB-COMPLIANT TOKEN

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| CERTIFICATE OF MAILING UNDER 37 CFR 1.10 'Express Mail' mailing label number: EV329955360US Date of Deposit: September 17, 2003 I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. By: <i>Adriane M. Baird</i> Name: Adriane M. Baird |
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MAIL STOP PATENT APPLICATION
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate Of Mailing Under 37 CFR 1.10.
- ☒ Utility Patent Application: Spec. 13 pgs; 42 claims; Abstract 1 pg(s).
 The fee has been calculated as shown below in the "Claims as Filed" table.
- ☒ 5 sheets of Formal Drawings
- ☒ Verified statement to establish small entity status
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Assignment of the invention to Rainbow Technologies, Inc. and Rainbow Technologies B.V., Recordation Form Cover Sheet
- ☒ A charge to Deposit Account in the amount of \$573.00 to cover the Filing Fees
- ☒ A charge to Deposit Account in the amount of \$40.00 to cover the Assignment Recordation Fee.
- ☒ Return postcard

CLAIMS AS FILED

| Number of Claims Filed | In Excess of: | Number Extra | Rate | Fee |
|------------------------------|---------------|--------------|-------------|-----------------|
| Basic Filing Fee | | | | \$375.00 |
| Total Claims | | | | |
| 42 | 20 | 22 | x \$9.00 = | \$198.00 |
| Independent Claims | | | | |
| 3 | 3 | 0 | x \$42.00 = | \$0.00 |
| MULTIPLE DEPENDENT CLAIM FEE | | | | \$0.00 |
| TOTAL FILING FEE | | | | \$573.00 |

Please charge all fees to Deposit Account No. 50-0494. A duplicate of this sheet is enclosed.

Customer Number 22462
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 Howard Hughes Center
 6701 Center Drive West, Suite 1050
 Los Angeles, CA 90045
 (310) 641-8797

By: *Victor G. Cooper*
 Name: Victor G. Cooper
 Reg. No.: 39,641
 Initials: VGC/amb

**SMALL BUSINESS
STATEMENT CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.9(f) AND 1.27(c)) – SMALL BUSINESS CONCERN**

I hereby state that I am:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Rainbow Technologies, Inc.
ADDRESS OF CONCERN: 50 Technology Drive
Irvine, California 92618

I hereby state that the above-identified small business concern qualifies as a small business as defined in 13 C.F.R. Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: **BOOT-UP AND HARD DRIVE PROTECTION USING A USB-COMPLIANT TOKEN** by inventor(s) Michael L. Cochran, Laszlo Elteto, Jenine A. McQuaid, and James W. Yip described in:

☒ the specification filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37b C.F.R. 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e). ***NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

| | | | |
|---------|-------------------------------------|-----------------------------------------|-------------------------------------------------|
| NAME | _____ | | |
| ADDRESS | _____ | | |
| | <input type="checkbox"/> INDIVIDUAL | <input type="checkbox"/> SMALL BUSINESS | <input type="checkbox"/> NONPROFIT ORGANIZATION |
| NAME | _____ | | |
| ADDRESS | _____ | | |
| | <input type="checkbox"/> INDIVIDUAL | <input type="checkbox"/> SMALL BUSINESS | <input type="checkbox"/> NONPROFIT ORGANIZATION |

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as small entity is no longer appropriate. (37 C.F.R. 1.28(b))

NAME: Thomas A. Seche
TITLE: Director of Contracts
ADDRESS: 50 Technology Drive
Irvine, California 92618

SIGNATURE: _____

DATE: _____

Sep. 10, 2003